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#9 a/s R.C.S
2654

PTO/SB/30 (09-03)

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Request
for
Continued Examination (RCE)
Transmittal

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<i>Application Number</i>	09/437,971
<i>Filing Date</i>	November 10, 1999
<i>First Named Inventor</i>	C.J. Chen et al.
<i>Art Unit</i>	2654
<i>Examiner Name</i>	Patrick Nestor Edouard
<i>Attorney Docket Number</i>	YO999-426

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

2. Miscellaneous

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

3

Fees

Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. <input checked="" type="checkbox"/>	Deposit Account No. <u>50-0510</u>	<u>05/17/2004 RMEBRAHT 00000076 500510 09437971</u>
i. <input checked="" type="checkbox"/>	RCE fee required under 37 CFR 1.17(e)	<u>01 FC:1801 770.00 DA</u>
ii. <input checked="" type="checkbox"/>	Extension of time fee (37 CFR 1.136 and 1.17)	<u>02 FC:1251 110.00 DA</u>
iii. <input type="checkbox"/>	Other _____	
b. <input type="checkbox"/>	Check in the amount of \$ _____	enclosed
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	William E. Lewis	Registration No. (Attorney/Agent)	39,274
Signature			
	Date	May 12, 2004	

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Office on the date shown below.
Name (Print/Type) V. Bencivenni
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Date May 12, 2004

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. YO999-426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): C.J. Chen et al.

Docket No.: YO999-426

Serial No.: 09/437,971

Filing Date: November 10, 1999

Group: 2654

Examiner: Patrick Nestor Edouard

Title: Methods and Apparatus for Semantic Unit Based
Automatic Indexing and Searching in Data Archive Systems

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: W. Lewis Date: May 12, 2004

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MAY 18 2004

Technology Center 2600

Sir:

Submitted herewith is the following document relating to the above-identified patent application:

- (1) Request for Continued Examination (RCE) Transmittal

Please extend the period for response by one month to May 13, 2004. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$110, to cover this fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,

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Date: May 12, 2004